



Suite 190, Centennial Building, 658 Cedar Street, St. Paul, MN 55155-1603 [www.cfboard.state.mn.us](http://www.cfboard.state.mn.us)  
Email: [cfboard@state.mn.us](mailto:cfboard@state.mn.us)

**Statement of Economic Interest**

Candidate or Elected Local Official in a Metropolitan Governmental Unit  
under Minn. Stat. § 10A.01, subd. 22; and 10A.09, subd. 6a

Personal Information	Employment Information
Name	Occupation
Address	Name of employer (indicate if self-employed)
City, state, zip	Address of employer
Telephone (daytime)	City, state, zip of employer
Name of office	Email Address

**Period Covered (check one and provide dates)**

- Original statement \_\_\_\_\_, \_\_\_\_\_  
date filed for office
- Annual supplementary statement \_\_\_\_\_, \_\_\_\_\_ to March 31, \_\_\_\_\_  
date last filed
- Amended statement \_\_\_\_\_, \_\_\_\_\_ (date of statement being amended)
- Termination statement \_\_\_\_\_, \_\_\_\_\_ to \_\_\_\_\_, \_\_\_\_\_  
date last filed last date served in elected office

**Certification**

I, \_\_\_\_\_, certify that the information contained on this form is  
(print or type name) complete, true, and correct.

\_\_\_\_\_  
Signature of candidate or elected local official

\_\_\_\_\_  
Date

**Any person who signs and certifies to be true a report or statement which the person knows contains false information, or who knowingly omits required information, is subject to a civil penalty imposed by the Board of up to \$3,000 and is subject to criminal prosecution for a gross misdemeanor.**

This document is available in alternative formats to individuals with disabilities by calling 651/296-5148; 800/657-3889; or through the Minnesota Relay Service at 800/627-3529.

## Instructions

- This form must be completed by appointed officials and candidates for certain metropolitan governmental units.
- This form is due at the governing body of the Metropolitan Governmental Unit:

For Elected Officials	For Appointed Officials
Within 14 days after signing an affidavit of candidacy, and	Within 60 days after undertaking the duties of office
No later than April 15 <sup>th</sup> , annually (if elected and there are changes to report from the previously filed statement)	No later than April 15 <sup>th</sup> , annually

- This form may be filed by fax sent to the Metropolitan Governmental Unit
- Address questions to the governing body of the Metropolitan Governmental Unit
- Compensation:
  - Original statement of economic interest includes only compensation received in the calendar month immediately preceding the date of appointment as a public official or filing as a candidate
  - Supplementary statement of economic interest includes compensation and honorariums received since the preceding statement of economic interest.
- Termination statements are required only if there are changes to be reported from the previously filed statement. If there are changes to report, this statement is due at the governing body after the term ends and before the following April 15<sup>th</sup>.

## Field Level Instructions

**Address** means the complete mailing address, including the zip code, at which the individual wishes to receive mail from the Metropolitan Governmental Unit. A person may use either a business address or home address.

**Occupation** means a person's usual trade, profession, employment, or other similar endeavor, and includes categories for which there is no direct financial compensation, such as homemaker.

**Name of Employer** is applicable only to those who have an employer. Individuals who are not employed or who are self-employed should indicate that fact in the employer name space.

**Address of Employer** – Complete address, including city, state, and zip code:

- for an employed person, the name of the employer and the address from which the employee conducts the employer's business;
- for a self-employed person the address from which the person conducts business
- for a homemaker, retiree, farmer, or person not employed, the individual's home address

### Sources of Compensation

- **include** payment for services as a director, officer, owner, member, partner, employer, or employee. Compensation includes per diem payments.
- **do not include** payments for services as an independent contractor, social security payments, unemployment compensation, workers' compensation, pension benefits, income from rental property, or insurance benefits.

### Securities Held in Associated Business(es)

- **include** any stock, share, bond, warrant, option, pledge, note, debenture, lease, or commercial paper, in any corporation, partnership, mutual fund, trust, or other association. Securities include stocks in a self-directed IRA and mutual fund shares held in an IRA or deferred compensation plan.
- **do not include** deposits in a savings account; certificates of deposit; money market certificates; treasury bills; federal, state, municipal, or other political subdivision bonds or notes; dividends from securities; holdings in a pension or retirement plan; or stocks in an IRA which is not self-directed.

For shares in a mutual fund list the fund provider and fund name but do not itemize the stocks or the assets the mutual fund itself owns. For securities traded on national exchanges, list the name of the security not the exchange symbol. The value of a security is its fair market value. For securities traded on national exchanges, the fair market value is the closing bid price for the security on a given date. The value of a partial interest in a security is the value of the holder's proportionate share.

**Real Property** includes land or buildings within the state of Minnesota, *other than the filer's homestead*, held personally or in partnership in which the filer holds:

- a fee simple interest (own); a mortgage held as seller; a contract for deed as a buyer or seller, or an option to buy, whether direct or indirect, and which interest is valued in excess of \$2,500; or
- an option to buy, for property which has a fair market value of \$50,000 or more.
- **include** the county in which the property is located; street address with the city name, OR if there is no street address, list the section, township, and range (lot, block, and addition description can be used in place of section, township, and range); acreage is required only when the section, township, and range description is reported.
- **do not include** homestead property or the type of property (cabin, condominium).

### Pari-mutuel Horse Racing

- **include** any investment, ownership, or interest in property connected with pari-mutuel horse racing in the United States or Canada, including a race horse. Include any direct or indirect, partial or full interest held by you or an immediate family member.

Attach additional pages if necessary to complete any of these schedules.

### Sources of Compensation

Report the name of any associated business from which you received compensation for labor or personal services in excess of \$50 and check which box(es) describes your relationship with the association. See instructions on page two.

----- Check applicable box -----

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium / Per Diem

### Securities Held in Associated Business(es)

Report all securities in any associated business in which your share has a market value of \$2,500 or more. Do NOT include number of shares or value of shares. See instructions on page two.

Name of security

### Real Property

Report all non-homesteaded property held individually or in partnership within the state of Minnesota. See instructions on page two.

----- Check one -----

County	Street address and city; OR section, township, and range	Own	Mortgage	Contract for deed As buyer or seller	Option to buy, interest is valued at greater than \$2,500	Option to buy, prop value greater than \$50,000	Acreage if applicable

### Pari-Mutuel Horse Racing

Report any property connected with pari-mutuel horse racing. See instructions on page two.

----- Check one -----

	Partial interest	Full interest	Description of interest (horse, stable, etc.)
Official direct interest			
Official indirect interest			
Family interest			

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